



FACT SHEET

ETHI COMMITTEE APPEARANCE 2020-03-09

Compliance

OCL CASES SINCE APRIL 2019:

	2017-18	2018-19	2019-20*
Number of opened preliminary assessments during FY		23	14
Number of preliminary assessments closed (NFA)		12	5
Number of investigations opened		9	5
Number of active preliminary assessments at YE	8 **	10	14

** 3 ADMRs; 5 PRLMS (2 still active)

*All the numbers for 2019-2020 are accurate as of 2020-03

	2017-18	2018-19	2019-20*
Number of opened investigations during FY		9	5
Number of closed investigations		12	23
Number of investigations that led to a report to parliament		-	19
***Sponsored Travel			
Number of ceased investigations		12	4
Number of files referred to peace officer (suspended)	1	1	6

*Total of 8 files with RCMP as of March 2020

Number of ongoing investigations at year end		34	10
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*All the numbers for 2019-2020 are accurate as of 2020-03



STATISTICS FOR EXEMPTION REQUESTS

	2018-19	2019-20*
Number of exemption requests	11	10
Number of exemption requests before November 2019	-	2
Number of exemption requests after November 2019	-	8
Number of closed exemption requests	11	6
Number of granted exemptions	4	2
Applicant withdrew	3	3
Denied exemptions	4	2
Number of exemption request currently under review	-	3

*All the numbers for 2019-2020 are accurate as of 2020-03-03

STATISTICS FOR ENSURING COMPLIANCE

	2018-19	2019-20*
Number of advisory letters	52	28
Number of compliance assessment	79	100

*All the numbers for 2019-2020 are accurate as of 2020-03-03