



FACT SHEET

ETHI COMMITTEE APPEARANCE 2020-11-27

Compliance

OCL CASES SINCE APRIL 2020:

	2020-21
Number of Active Files (Investigations and Preliminary Assessments)	35
Preliminary Assessments Active (as of November 24)	18
Preliminary Assessments Initiated this FY	16
Preliminary Assessments closed this FY	6
Investigations Active and Suspended (as of November 24)	17
Investigations Active (as of November 24)	5
Investigations Suspended (as of November 24)	12
Investigations Initiated this FY	6
Investigations Ceased this FY	3
Investigations Referral to Peace Officer this FY	3
Investigations ROI this FY	0

STATISTICS FOR EXEMPTION REQUESTS

	2020-21*
Number of exemption requests	
Exemptions Active	2
Exemption opened this FY	6
Exemption closed this FY (Some were opened last FY but completed this FY)	6

* As of 2020-11-24



STATISTICS FOR ENSURING COMPLIANCE

	2020-21*
Number of advisory letters	10
Number of compliance assessment	52

*As of 2020-11-24